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REACTIVE EXTRUSION OF POLY(ETHYLENE TEREPHTHALATE): A REVIEWDenys O. Chervakov*¹, Kostiantyn Ye. Varlan², Oleh V. Chervakov¹, Olga S. Sverdlikovska¹¹Ukrainian State University of Science and Technology. ¹Scientific and Educational Institute "Ukrainian State Chemical Technology University"²Scientific and Educational Institute "Aerospace Institute", 8 Nauky Avenue, Dnipro 49005, Ukraine

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Abstract

This review provides a comprehensive analysis of modern scientific and practical approaches to reactive extrusion (REX) of polyethylene terephthalate (PET) – a method that allows integrating the stages of synthesis, modification and processing of the material in a single high-performance technological process. A wide range of chemical agents is considered, including di- and polyepoxides, isocyanates, dianhydrides, bisoxazolines, biscalprolactams and organic phosphites, which act as chain extenders and promoters of chemical modification reactions of PET. The mechanisms of interaction of these reagents with carboxyl and hydroxyl end groups of the polymer, which are formed as a result of its thermal and hydrolytic degradation, are considered in detail. The influence of each type of modifier on the increase in molecular weight, change in melt flow index, rheological characteristics and complex of physicochemical properties of the material is analyzed. Particular emphasis is placed on the use of structure-forming agents (nucleators) and reactive impact modifiers to optimize the supramolecular structure and overcome the natural brittleness of PET. The work systematizes the literature data and experimental experience of the article authors on the restoration of the properties of secondary PET and formulates recommendations for the selection of optimal systems for obtaining high-quality polymer matrices for engineering plastics and polymer composite materials based on them.

Keywords: reactive extrusion; poly(ethylene terephthalate); chain extender; nucleating agent; structure-directing agent.

РЕАКТИВНА ЕКСТРУЗІЯ ПОЛІ(ЕТИЛЕНТЕРЕФТАЛАТУ): ОГЛЯДДенис О. Черваков*¹, Костянтин Є. Варлан², Олег В. Черваков¹, Ольга С. Сverdліковська¹¹Український державний університет науки і технологій, Науково-навчальний інститут «Український державний хіміко-технологічний університет», пр. Науки, 8, Дніпро, 49005, Україна²Науково-освітній інститут «Аерокосмічний інститут», проспект Науки, 8, Дніпро, 49005, Україна**Анотація**

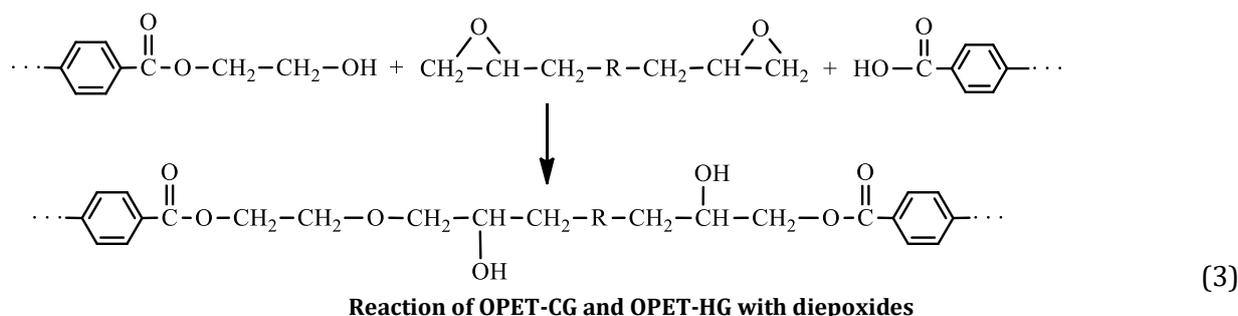
У цьому огляді проведено комплексний аналіз сучасних науково-практичних підходів до реактивної екструзії (REX) поліетилентерефталату (ПЕТ) – методу, який дозволяє інтегрувати стадії синтезу, модифікації та переробки матеріалу в єдиному високопродуктивному технологічному процесі. Розглянуто широку номенклатуру хімічних агентів, включаючи ди- та поліепоксида, ізоціанати, діангідриди, бісоксазоліни, біскапролактами та органічні фосфіти, що виконують роль подовжувачів ланцюга та промоторів реакцій хімічної модифікації ПЕТ. Детально розглянуті механізми взаємодії цих реагентів з карбоксильними та гідроксильними кінцевими групами полімеру, які утворюються внаслідок його термічної та гідролітичної деградації. Проаналізовано вплив кожного типу модифікаторів на приріст молекулярної маси, зміну показника текучості розплаву, реологічні характеристики та комплекс фізико-механічних властивостей матеріалу. Особливий акцент зроблено на застосуванні структуроутворюючих агентів (нуклеаторів) та реактивних модифікаторів ударної міцності для оптимізації надмолекулярної структури та подолання природної крихкості ПЕТ. Робота систематизує дані літератури та експериментальний досвід авторів статті щодо відновлення властивостей вторинного ПЕТ та формулює рекомендації стосовно вибору оптимальних систем для одержання високоякісних полімерних матриць для інженерних пластиків та полімерних композиційних матеріалів на їх основі.

Ключові слова: реактивна екструзія; поліетилентерефталат; подовжувач ланцюга; нуклеатор; структуроутворюючий агент.

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Reaction of OPET-CG and OPET-HG with diepoxides

The components were blended in a laboratory reactor at 270 °C for 5–10 minutes under different atmospheres (air and nitrogen). Prior to blending, PET underwent solid-state polycondensation (SSP) for 24 hours at 120 °C. The modified PET was washed with acetone to remove residual diepoxides and ground into a powder for further physicochemical characterization.

To confirm the effectiveness of diepoxide-based chain extension, a series of reactive extrusion experiments were conducted using Epon 1009 as a model diepoxide [20]. Prior to extrusion, PET samples underwent SSP at 160 °C for 2 hours to ensure sufficient availability of reactive hydroxyl and carboxyl end groups. The incorporation of 0.8 wt.% diepoxide under these conditions led to a reduction in melt flow index from 4.5 to 2.0 g/10 min for crystalline PET, indicating a substantial increase in molecular weight. Intrinsic viscosity increased from 0.63 to 0.83 dl/g, tensile strength improved from 48.6 to 54.3 MPa, and elongation at break from 51 % to 73 % [20].

These results confirm that diepoxides effectively participate in polycondensation reactions with PET degradation products, provided that SSP is properly optimized. The observed improvements in rheological and mechanical properties are consistent with earlier findings [17; 21], where molecular weight increased from 29,300 to 33,900 (and up to 49,000 when using diimidoepoxide), tensile strength from 32 to 62 MPa, and elastic modulus from 1.3 to 1.8 GPa. Thermal analysis revealed a decrease in melting temperature (from 255 °C to 237 °C) and glass transition temperature (from 77 °C to 68 °C), consistent with increased chain mobility and partial morphological reorganization [16–19].

However, Charpy impact strength showed a slight decline (e.g., from 5 to 4 kJ/m² for PET-CG), suggesting that diepoxide modification does not significantly enhance supramolecular toughness. This limitation is likely associated with unchanged crystallinity and aggregate architecture.

Moreover, literature data indicate that while diepoxides effectively increase molecular weight and improve tensile properties, they do not consistently enhance resistance to dynamic and impact loads [22; 23], which restricts their applicability in engineering-grade PET formulations where toughness is critical.

Therefore, the use of diepoxides should be complemented by additional morphological regulators or impact modifiers to achieve a balanced property profile

Reactive extrusion of poly(ethylene terephthalate) in the presence of tri- and tetraepoxides

In study [16], the effect of commercially available chain extenders from the tri- and tetraepoxide classes—namely glycidyoxydiglycidylaniline and tetraglycidyl-diaminodiphenyl-methane—on the molecular weight and rheological properties of modified PET was investigated. The modification was carried out in a twin-screw extruder at a processing temperature of 270 °C. Prior to blending, PET underwent SSP for 6 hours at 150 °C, while the tri-epoxide (TE) and tetraepoxide (TTE) compounds were dried to constant weight at 40–50 °C for 24 hours.

The authors found that the incorporation of TE and TTE into molten PET significantly increased its molecular weight— from 29,700 to 51,000. The highest molecular weight was achieved using TTE at a concentration of 0.4 wt.%. The study also reported that TTE exhibits higher reactivity than TE, whereas TE tends to produce less branched copolymers compared to TTE.

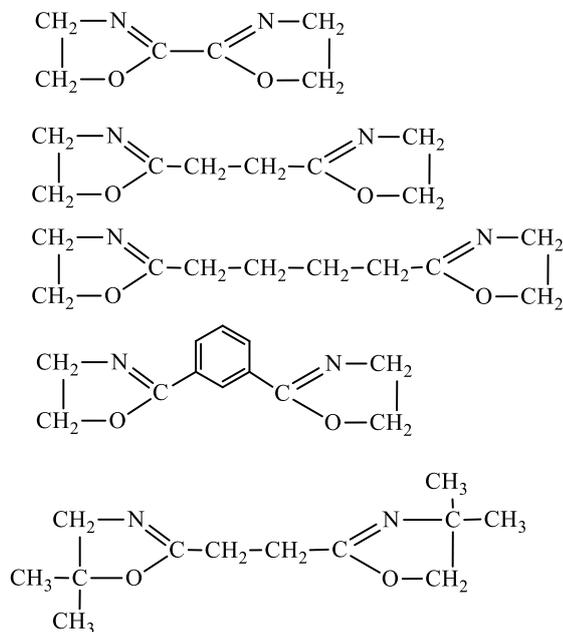
One of the most significant findings was that the polycondensation primarily involves reactions between CE and the carboxyl end groups and then by hydroxyl groups of PET and CE, through mechanisms analogous to reactions of (1–3). The authors also noted that the supramolecular architecture of modified PET remains largely unchanged when using TTE and TE.

Analysis of the presented data indicates that the study [16] was conducted with the aim of

producing rigid PET-based foams [17]. It was observed that modification of PET with tri- and tetraepoxides leads to the formation of a substantial number of volatile by-products, which effectively facilitates PET foaming. However, the resulting materials in thick layers (over 1 mm) exhibited low resistance to dynamic and impact loads, likely due to crystallization processes occurring in the solid phase of PET.

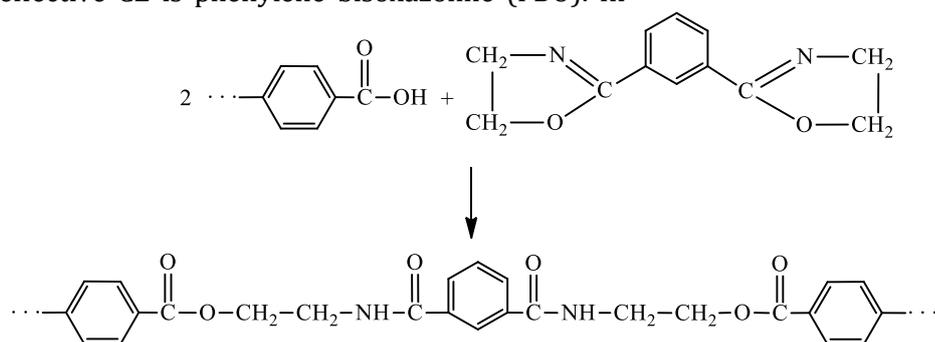
Reactive extrusion of poly(ethylene terephthalate) in the presence of bisoxazolines

Studies [17–21] have shown that compounds from the oxazoline class are effective chain extenders for thermoplastic polyesters and polyamides. Among them, bisoxazolines based on dicarboxylic and benzoic acids from the following homologous series have been identified as the most efficient CEs



It has also been reported [18–23] that within the described homologous series, the most effective CE is phenylene bisoxazoline (PBO). In

general, the interaction between OPET-CG and BOX proceeds via the following mechanism (4):



Reaction between OPET-CG and bisoxazolines

(4)

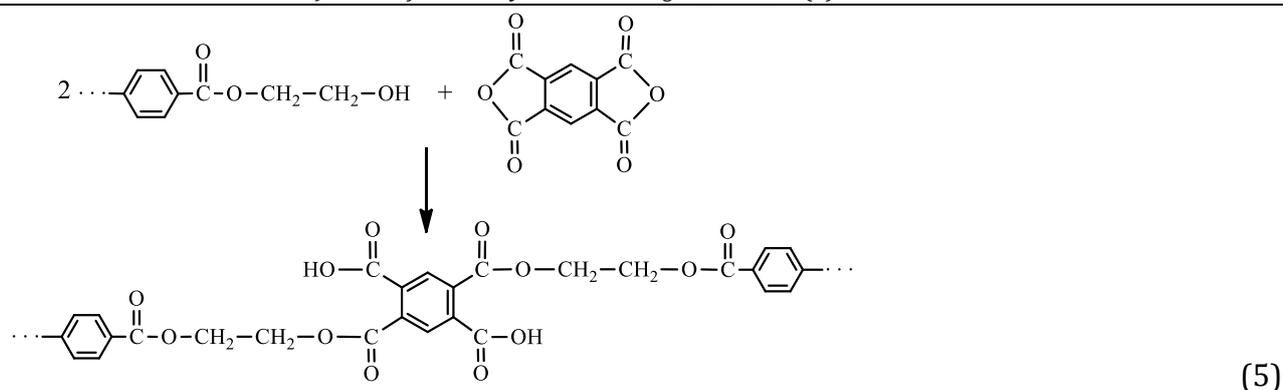
Unfortunately, limited attention was given to the SSP treatment of PET in these studies, with the material reportedly subjected only to drying at 120 °C for 30 minutes [10; 18–23]. The interaction between PBO and OPET-CG was carried out in a laboratory reactor at temperatures ranging from 270 to 280 °C. The results indicated that introducing PBO in a stoichiometric ratio relative to the carboxyl end groups of OPET-CG increased the molecular weight from 30,000 to 35,000.

Based on the publication frequency on this topic [18–22] and the lack of industrial implementation of PBO as a CE for PET, it is likely

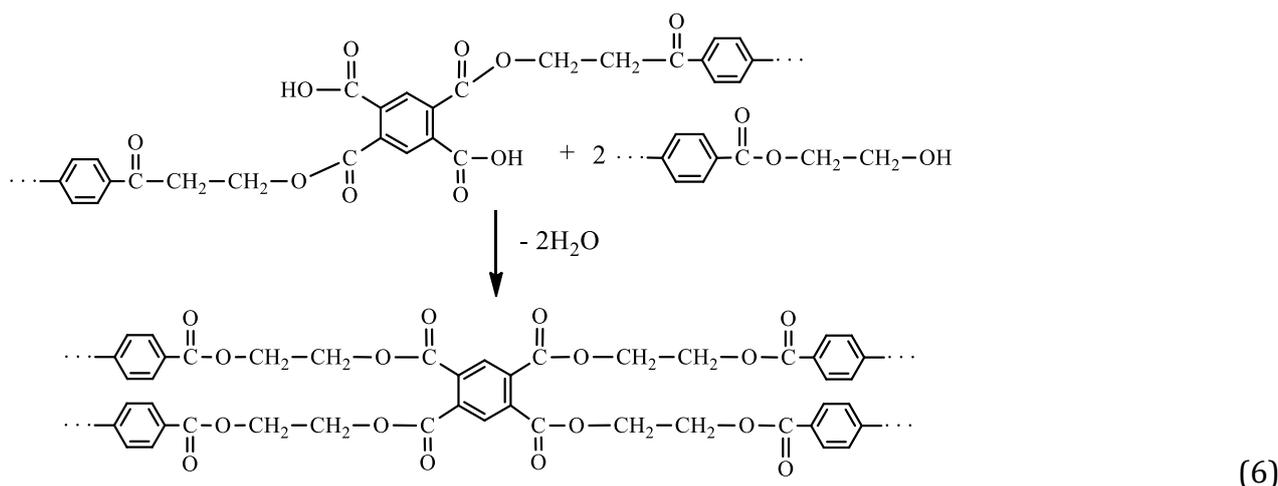
that the authors encountered the same issue observed with diepoxides—namely, insufficient mechanical performance of the modified materials.

Reactive extrusion of poly(ethylene terephthalate) in the presence of dianhydrides

Studies on the regeneration of recycled PET via REX [24–26] have demonstrated that dianhydrides can serve as effective CEs. The authors [27–29] proposed that pyromellitic dianhydride (PMDA) [20] interacts with PET in a two-step mechanism:



Step 1 – Chain extension reaction during REX involving hydroxyl end groups of PET and PMDA, without the formation of by-products.



Step 2 – Polycondensation involving the carboxyl groups of the intermediate product (formed by according reaction 5) and the hydroxyl end groups of OPET-HG during REX, accompanied by the release of two molecules of water

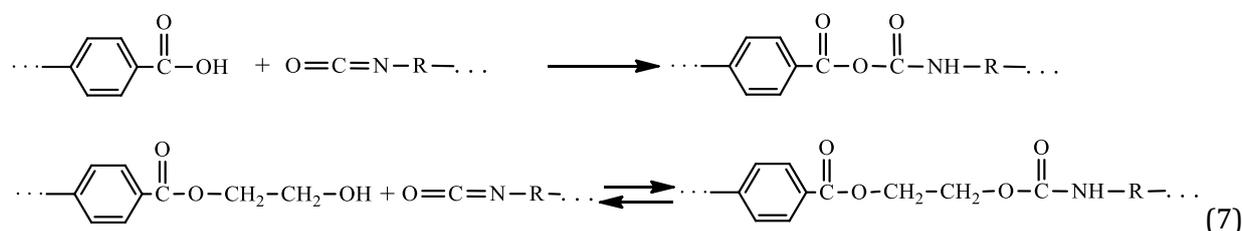
The components were blended in a twin-screw extruder at a processing temperature of 280 °C. Prior to blending, PET underwent SSP under vacuum for 12 hours at 110 °C [30]. The authors reported that the incorporation of PMDA into molten PET during REX significantly increased its molecular weight – from 19,800 to 32,000. It was also determined that the maximum molecular weight was achieved at a PMDA concentration of 0.75 wt.%.

Thus, the study concluded that the use of PMDA as a chain extender is an effective strategy for restoring the properties of recycled PET.

However, the authors did not report the key physical-mechanical properties of the modified PET, and the limited frequency of publications on this topic [30; 31] prevents a comprehensive assessment of PMDA's effectiveness as a chain extender

Reactive extrusion of poly(ethylene terephthalate) in the presence of isocyanates

Due to their high reactivity and extensive coverage in the literature, compounds from the isocyanates class are widely used and well-studied as chain extenders for various polymers, including PET, PBT, POM, PC, and PA [32–38]. In general, the interaction of isocyanates with PET degradation products occurs via chain extension reactions involving carboxyl and hydroxyl end groups, as illustrated by the following mechanisms [38–39]:



Interaction of isocyanates with carboxyl and hydroxyl end groups of PET degradation products

The components were blended in a twin-screw extruder at a processing temperature of 260–290 °C. Prior to blending, PET underwent SSP under various conditions [38–39]. The authors reported that the use of mono-, di-, and triisocyanates significantly increased the molecular weight of PET during REX – from 12,800/32,000 to 48,200/65,000 for recycled/virgin PET, respectively [39–40]. Additionally, studies [32–40] demonstrated a substantial reduction in the melt flow index from 80 to 1 g/10 min, along with an increase in tensile strength from 51 to 65 MPa. It was also shown that melt flow index can be effectively tuned by adjusting the type and concentration of CE (from 0.1 to 1.5 wt.%) without compromising the core performance properties.

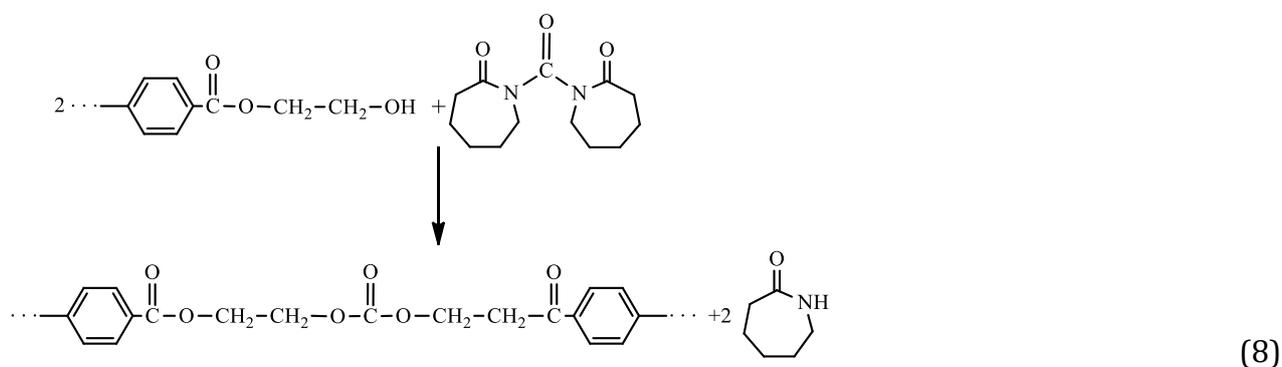
However, not all physical-mechanical properties of PET modified with ISC improved. In particular, resistance to dynamic and impact loads remained largely unchanged, which is a critical limitation. It is likely that ISC-based modification does not positively influence the degree of

crystallinity, regularity, or supramolecular architecture of PET and its derivatives.

One of the major drawbacks that severely limits the large-scale industrial implementation of PET reactive extrusion products with ISC is the potential formation of highly toxic degradation products, primarily hydrogen cyanide (HCN) and its organic derivatives. According to toxicological classifications, these substances are considered “Extremely Hazardous” (Flammable substance – Category 1; Oral toxicity – Category 1; Dermal toxicity – Category 1; Inhalation toxicity – Category 1; Poisonous substance – Category 1; Fatal upon contact) [41].

Reactive extrusion of poly(ethylene terephthalate) in the presence of biscaprolactams

Biscaprolactams are among the most recent and promising CEs for PET. According to studies [10; 42; 43], carbonyl biscaprolactam is an effective agent for initiating chain extension reactions involving hydroxyl end groups of PET degradation products via the following mechanism:



Interaction of carbonyl biscaprolactam with hydroxyl end groups of PET degradation products

Unfortunately, the authors did not provide details regarding the blending methods or SSP conditions for PET, nor did they report on the physical-mechanical properties of the resulting materials. However, the molecular weight of PET modified with CBC as a chain extender (within a concentration range of 0.1–1.5 wt.%) reached 68,000, and further increased to 82,000 when a stoichiometric mixture of CBC and PMDA was used.

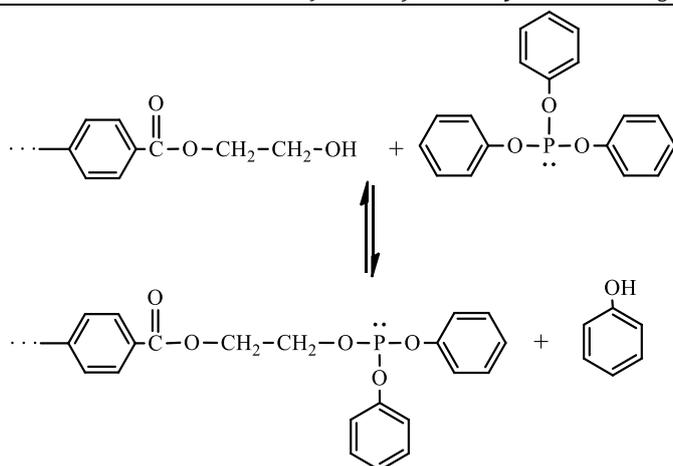
Despite the promising potential of CBC as a CE for PET, the limited amount of available data prevents a reliable assessment of its practical applicability.

Reactive extrusion of poly(ethylene terephthalate) in the presence of organic phosphites

Organic phosphites are among the most ambiguous modifiers of PET properties [10]. Depending on their molecular structure, this class of compounds may act as CEs, chain growth catalysts, transesterification inhibitors, flame retardants, or even promote PET degradation [44–46]. The following findings have been reported:

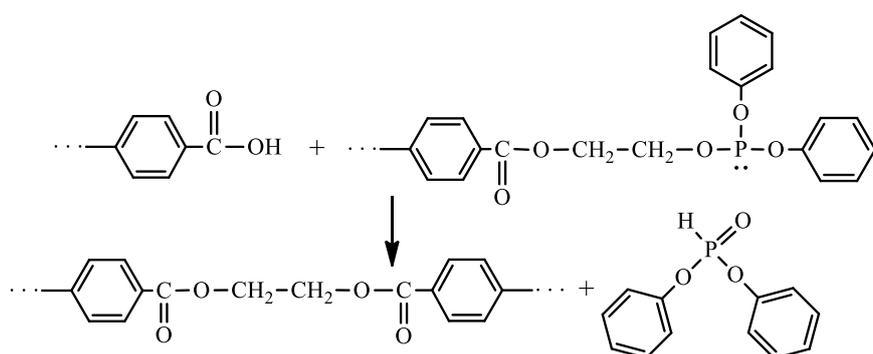
- triaryl phosphites can function as chain extenders, with triphenyl phosphite showing the highest efficiency.
- triaryl phosphites may also serve as chain growth catalysts during the synthesis of PET–polyethylene naphthalate copolymers via REX.
- di- and trialkyl phosphites can accelerate hydrolytic degradation and significantly reduce the rate of SSP.

Chain extension of PET with triaryl phosphites proceeds via a two-step mechanism:



(9)

Step 1 – Equilibrium polycondensation during REX involving hydroxyl end groups of PET degradation products and triaryl phosphites, accompanied by the release of phenol.



(10)

Step 2 – Chain extension reaction during REX involving carboxyl end groups of PET degradation products and the intermediate product, formed by according reaction 9

Unfortunately, limited attention was paid to the SSP treatment of PET prior to reactive extrusion. The referenced studies did not report processing conditions, changes in molecular weight, or the physical-mechanical properties of the modified products [47–49]. Despite the promising potential of triaryl phosphites as chain extenders for PET, the scarcity of available data prevents a reliable assessment of their practical applicability.

Based on the reviewed information, chain extension remains an effective strategy for increasing the molecular weight of PET during its degradation. The wide variety of available chain extenders also enables targeted modification of rheological properties and enhancement of physical-mechanical performance, except for resistance to dynamic and impact loads. According to the authors [44–49], improving impact resistance can be achieved through conventional non-reactive and reactive modification techniques, such as the incorporation of

oligomeric compounds of various chemical natures.

However, practical evidence suggests that a high number of conformational transitions, even after modification, leads to crystallization of PET in all phase states. Therefore, in addition to increasing or maintaining molecular weight and limiting degradation products, it is also relevant to reduce the rate of conformational transitions from cis-PET to trans-PET. This may require a different modification strategy involving auxiliary compounds rather than conventional chain extenders.

Reactive extrusion of poly(ethylene terephthalate) with structure-directing agents

During the processing of PET into finished products, the polymer undergoes a rapid transition from a viscous melt to a glassy state. This results in the formation of fine spherulites distributed throughout the polymer matrix [50–52]. This phenomenon is associated with the so-called “freezing” of spherulite growth, which significantly increases the proportion of amorphous phase in the material. In contrast, slow cooling [52–60] leads to chaotic growth of

supramolecular aggregates, increased crystallinity, and ultimately prevents the attainment of optimal physico-mechanical properties [53–55].

It is therefore reasonable to assume that achieving optimal performance in PET-based products requires uniform distribution of supramolecular aggregates, stability of their geometric dimensions, and an optimal crystalline phase content. In practice, this can be achieved through the use of structure-directing agents – nucleating agents (NAs) and crystallization accelerators.

Traditional inorganic NAs used for polyolefins (e.g., talc) are ineffective for PET modification. However, a series of studies has shown that alkali metal salts (sodium, lithium, calcium, barium) of carboxylic acids are highly effective NAs for PET [56–61]. This is attributed to their superior thermodynamic compatibility with PET and their

ability to distribute uniformly and efficiently throughout the polymer matrix. It was found [56–58] that salts of carboxylic acids interact with PET via ester groups, resulting in chain scission and the formation of alkali metal carboxylates. Notably, the effectiveness of these NAs decreases significantly with prolonged processing time, which is linked to disproportionation reactions forming bimetal terephthalates.

In industrial practice, a three-component “nucleator blend” is commonly used for processing recycled PET. This blend includes:

- sodium stearate (serving as a chemical nucleating agent);
- sodium ionomers (ensuring uniform dispersion of components in the polymer melt, acting as both nucleator and compatibilizer);
- polyester esters (enhancing segmental mobility of PET).

Table 1

Commercially available reactive NAs for PET [10]

Trade Name	Chemical Type	Manufacturer	Functionality in PET	Notes
BX NA 11	Sodium carboxylate	Baoxu Chemical	Nucleation, clarity improvement	Effective for PET and PP
Licomont CaV 101	Calcium-based carboxylic acid salt	Clariant	Crystallization control, NA	Thermally stable, widely used
Hyperform HPN 900ei	Proprietary organic salt blend	Milliken	Nucleation, isotropic shrinkage	Used in PET thermoforming
Sandostab 4030	Metal terephthalate derivative	Clariant	Nucleation, melt stabilization	May interact with ester groups
BX NA PTBBA	Aromatic carboxylic acid salt	Baoxu Chemical	Nucleation, melt flow control	Compatible with PET matrix
BX NA SB	Sodium stearate	Baoxu Chemical	Chemical nucleator, dispersion aid	Often used in nucleator blends

Manufacturers of NAs report that their use enhances both the rate and temperature of crystallization, ensures uniform distribution of fine spherulites throughout the polymer matrix, and significantly reduces holding time under pressure during PET processing in injection molding machines. The concentration of NAs in PET compositions typically ranges from 0.25 to 4 wt.% [10].

Recently, novel NAs based on pyrrole salts have gained increasing popularity. These compounds are recognized as chemical nucleation promoters that do not significantly reduce the molecular weight of PET [10].

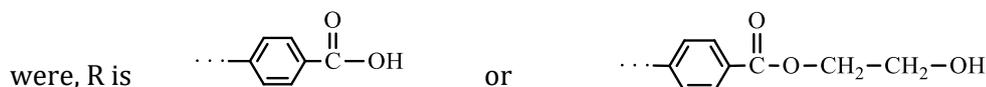
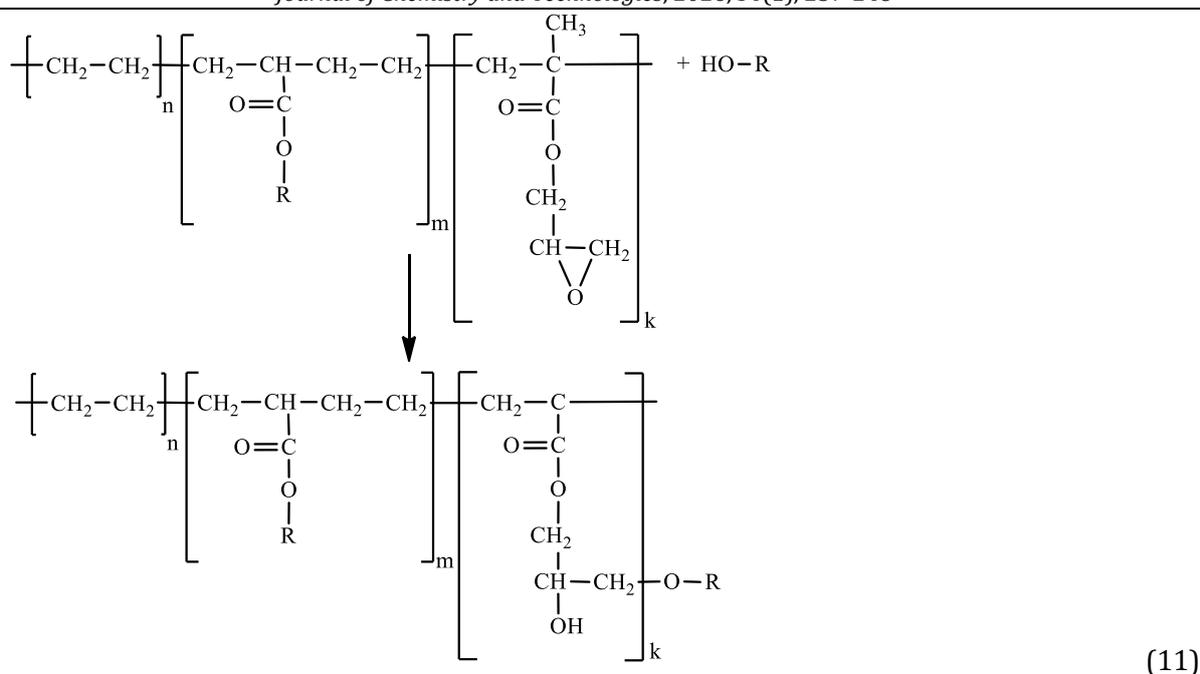
Reactive extrusion of poly(ethylene terephthalate) with impact modifiers

To reduce the rate of conformational transitions from cisPET to transPET and to enhance resistance to dynamic and impact loads,

the industry increasingly employs terpolymers of the ethylene-alkyl acrylate-glycidyl methacrylate class, as well as elastomers functionalized with maleic anhydride [10].

Currently, leading manufacturers such as DuPont, Exxon, Asahi Kasei, Kraton, and Atofina offer a wide range of such products (see Table 2). These terpolymers interact with PET via epoxy groups and the hydroxyl and/or carboxyl end groups. Each block of the terpolymer – similar to the case of ABS plastics – plays a specific role in relation to PET: the ethylene segment imparts elasticity and ductility; the alkyl acrylate segment contributes polarity and flexibility; and the glycidyl methacrylate segment provides reactivity.

Schematically, the interaction of glycidyl methacrylate-based terpolymers with PET may proceed as follows:



Interaction of glycidyl methacrylate-based terpolymers with the end groups of PET degradation products

The interaction of thermoplastic elastomers functionalized with maleic anhydride proceeds analogously to the first stage of PET-PMDA reaction.

As noted by the authors [10], the simultaneous incorporation of reactive and non-reactive impact modifiers into PET significantly enhances the resistance of PET-based products to dynamic and impact loads. This improvement is attributed to the reduction in the size of supramolecular aggregates and their more uniform distribution throughout the polymer matrix.

Table 2

Commercially available reactive impact modifiers for poly(ethylene terephthalate) [10]

Trade Name	Chemical Type	Manufacturer	Functional Group(s)	Notes
Elvaloy® PTW	Ethylene-alkyl acrylate-glycidyl methacrylate	DuPont	Epoxy (GMA)	Reactive terpolymer; improves impact strength and flexibility
Lotader® AX8900	Ethylene-acrylate-maleic anhydride	Arkema (Atofina)	Maleic anhydride (MAH)	Reactive elastomer; compatibilizer and impact modifier
Kraton™ FG1901	SEBS functionalized with maleic anhydride	Kraton Polymers	Maleic anhydride (MAH)	Thermoplastic elastomer; improves toughness and adhesion
Tafmer™ MA	Polyolefin elastomer with maleic anhydride	Mitsui Chemicals	Maleic anhydride (MAH)	Used in PET blends for impact resistance
BYNEL™ Series	Functional polyolefins (various grades)	DuPont	MAH, GMA, others	Adhesion promoters and impact modifiers
Epolene™ G	Maleated polyethylene wax	Westlake	Maleic anhydride (MAH)	Used in small amounts for dispersion and interfacial adhesion

During the reactive modification of PET with oligomeric products, the size of supramolecular aggregates was reduced to below 1 μm, compared to the typical range of 1.5–3.0 μm. This reduction contributed to a substantial increase in notched Izod impact strength—from 0.46 to 700 J/m. Such performance qualifies the modified PET as a high-

strength engineering plastic suitable for impact-resistant grades. However, the total concentration of the modifier may exceed 20 wt.%, which significantly increases the cost of the final product.

The concept of using reactive impact modifiers (RIMs) is technically justified. Nevertheless, it is equally important to consider the potential for

increasing PET's molecular weight via chain extension. Simultaneous application of RIMs and CEs may lead to mutual interactions between the components, which do not necessarily result in improved property profiles.

Therefore, a comprehensive approach is recommended, involving:

- the use of non-reactive impact modifiers;
- SSP accelerators;
- nucleating agents and other morphological regulators.

Conclusions

Reactive extrusion is a versatile and promising method for modifying the properties of poly(ethylene terephthalate), enabling simultaneous synthesis and shaping of the material. The use of chain extenders of various chemical natures allows for increased molecular weight, improved strength, modulus of elasticity, and thermal stability.

Among the studied reagents, isocyanates, biscaprolactams, and polyfunctional epoxides

demonstrated the highest efficiency in increasing molecular weight without compromising PET melt flow.

Dianhydrides are particularly effective for restoring the properties of recycled PET. However, diepoxides and bisoxazolines fail to deliver sufficient impact resistance, limiting their practical applicability.

The addition of structure-directing agents based on alkali metal salts promotes uniform spherulite distribution and stabilizes the crystalline structure. Meanwhile, reactive impact modifiers such as ethylene-acrylate-glycidyl methacrylate terpolymers significantly enhance resistance to dynamic and impact loads.

A synergistic combination of chain extenders, nucleating agents, and impact modifiers represents a promising direction for advancing reactive extrusion technologies aimed at producing high-performance engineering-grade PET materials.

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